

3

Attorney Docket No. 24743-2301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hubert Köster and Ralf Wörl

Serial No.: 09/067,337

Filed: April 27, 1998

For: **SOLUTION PHASE BIOPOLYMER SYNTHESIS**

**VERIFIED STATEMENT (DECLARATION) CLAIMING
SMALL ENTITY STATUS (37 C.F.R. §§1.9(f)
and 1.27(b) - INDEPENDENT INVENTOR**

I, Ralf Wörl, do hereby declare that I am an independent inventor as defined in 37 C.F.R. §1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled

SOLUTION PHASE BIOPOLYMER SYNTHESIS

described in

- ☐ the specification filed herewith
- ☒ application Serial No. 09/067,337 filed April 27, 1998
- ☐ Patent No. _____, issued _____

I have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 C.F.R. §§1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. §§1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

If the rights held by the above-identified individual, concern or organization are not exclusive, each individual, concern or organization having rights in the invention are identified below. Each such individual, concern or organization must file separate verified statements averring to their status as small entities.

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 C.F.R. §1.27).

Full Name HUBERT KÖSTER

Address 8636-C Via Mallorca Drive, La Jolla, California 92037, U.S.A.

☒ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. §1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR: RALF WÖRL

SIGNATURE OF INVENTOR:

Ralf Wörl

DATE:

02.01.98



#3

Attorney Docket No. 24743-2301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hubert Köster and Ralf Wörl

Serial No.: 09/067,337

Filed: April 27, 1998

For: **SOLUTION PHASE BIOPOLYMER SYNTHESIS**

**VERIFIED STATEMENT (DECLARATION) CLAIMING
SMALL ENTITY STATUS (37 C.F.R. §§1.9(f)
and 1.27(b) - INDEPENDENT INVENTOR**

I, Hubert Köster, do hereby declare that I am an independent inventor as defined in 37 C.F.R. §1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled

SOLUTION PHASE BIOPOLYMER SYNTHESIS

described in

- ☐ the specification filed herewith
- ☒ application Serial No. 09/067,337 filed April 27, 1998
- ☐ Patent No. _____, issued _____

I have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 C.F.R. §1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

If the rights held by the above-identified individual, concern or organization are not exclusive, each individual, concern or organization having rights in the invention are identified below. Each such individual, concern or organization must file separate verified statements averring to their status as small entities.

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 C.F.R. §1.27).

Full Name RALF WÖRL

Address Charlottenstrasse 22, 20257 Hamburg, Germany

☒ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. §1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR:

HUBERT KÖSTER

SIGNATURE OF INVENTOR:



DATE:

8/27/98

DECLARATION FOR PATENT APPLICATION

As below-named inventors, we hereby declare that:

Our residences, post office addresses and citizenships are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SOLUTION PHASE BIOPOLYMER SYNTHESIS

the specification of which

- () is attached hereto.
- (X) was filed by an authorized person on my behalf on April 27, 1998 as Application Serial No. 09/067,337.
- () was amended on _____.

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

We hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate listed below and so identified, or §365(a) of any PCT international application that designated at least one country other than the United States of America, listed below, and we have also identified below any foreign application for patent or inventor's certificate or PCT international application on this invention filed by us or our legal representatives or assigns and having a filing date before that of the application on which priority is claimed.

<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>	<u>Priority Claimed (Yes or No)</u>
N/A			

We hereby claim benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

<u>Application Serial No.</u>	<u>Filing Date</u>
N/A	

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.Filing DateStatus

N/A

PCT Application No.Filing DateStatus

N/A

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

We hereby appoint the following attorneys and agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith and request that all correspondence and telephone calls in respect to this application be directed to Stephanie Seidman, HELLER EHRMAN WHITE & McAULIFFE, 4250 Executive Square, 7th Floor, La Jolla, California 92037-9103:

AttorneyReg. No.

Stephanie Seidman

33,779

Paula K. Schoeneck

39,362

Barry S. Wilson

39,431

Dale L. Rieger

43,045

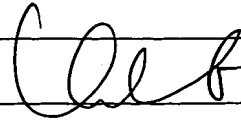
Address for correspondence:

Stephanie Seidman
HELLER EHRMAN WHITE & McAULIFFE
4250 Executive Square, 7th Floor
La Jolla, CA 92037-9103

Full name of joint inventor:

Hubert Köster

Inventor's signature:



Date:

8/27/98

Residence:

La Jolla, California

Post Office Address:

8636-C Via Mallorca Drive

La Jolla, California 92037, U.S.A.

Citizenship:

Germany

Full name of joint inventor:

Ralf Wörl

Inventor's signature:

Ralf Wörl

Date:

02-09-98

Residence:

Hamburg, Germany

Post Office Address:

Charlottenstrasse 22

20257 Hamburg, Germany

Citizenship:

Germany